Principles of Instruction
Research-Based Strategies That All Teachers Should Know

BY BARAK ROSENSHINE

This article presents 10 research-based principles of instruction, along with suggestions for classroom practice. These principles come from three sources: (a) research in cognitive science, (b) research on master teachers, and (c) research on cognitive supports. Each is briefly explained below.

A: Research in cognitive science: This research focuses on how our brains acquire and use information. This cognitive research also provides suggestions on how we might overcome the limitations of our working memory (i.e., the mental “space” in which thinking occurs) when learning new material.

B: Research on the classroom practices of master teachers: Master teachers are those teachers whose classrooms made the highest gains on achievement tests. In a series of studies, a wide range of teachers were observed as they taught, and the investigators coded how they presented new material, how and whether they checked for student understanding, the types of support they provided to their students, and a number of other instructional activities. By also gathering student achievement data, researchers were able to identify the ways in which the more and less effective teachers differed.

C: Research on cognitive supports to help students learn complex tasks: Effective instructional procedures—such as thinking aloud, providing students with scaffolds, and providing students with models—come from this research.

Even though these are three very different bodies of research, there is no conflict at all between the instructional suggestions that come from each of these three sources. In other words, these three sources supplement and complement each other. The fact that the instructional ideas from three different sources supplement and complement each other gives us faith in the validity of these findings.

Education involves helping a novice develop strong, readily accessible background knowledge. It’s important that background knowledge be readily accessible, and this occurs when knowledge is well rehearsed and tied to other knowledge. The most effective teachers ensured that their students efficiently acquired, rehearsed, and connected background knowledge by providing a good deal of instructional support. They provided this support by teaching new material in manageable amounts, modeling, guiding student practice, helping students when they made errors, and providing for sufficient practice and review. Many of these teachers also went on to experiential, hands-on activities, but they always did the experiential activities after, not before, the basic material was learned.

The following is a list of some of the instructional principles that have come from these three sources. These ideas will be described and discussed in this article:

• Begin a lesson with a short review of previous learning.
• Present new material in small steps with student practice after each step.
• Ask a large number of questions and check the responses of all students.
• Provide models.
• Guide student practice.
• Check for student understanding.
• Obtain a high success rate.
• Provide scaffolds for difficult tasks.
• Require and monitor independent practice.
• Engage students in weekly and monthly review.

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1. Begin a lesson with a short review of previous learning: Daily review can strengthen previous learning and can lead to fluent recall.

Research findings
Daily review is an important component of instruction. Review can help us strengthen the connections among the material we have learned. The review of previous learning can help us recall words, concepts, and procedures effortlessly and automatically when we need this material to solve problems or to understand new material. The development of expertise requires thousands of hours of practice, and daily review is one component of this practice.

For example, daily review was part of a successful experiment in elementary school mathematics. Teachers in the experiment were taught to spend eight minutes every day on review. Teachers used this time to check the homework, go over problems where there were errors, and practice the concepts and skills that needed to become automatic. As a result, students in these classrooms had higher achievement scores than did students in other classrooms.

Daily practice of vocabulary can lead to seeing each practiced word as a unit (i.e., seeing the whole word automatically rather than as individual letters that have to be sounded out and blended). When students see words as units, they have more space available in their working memory, and this space can now be used for comprehension. Mathematical problem solving is also improved when the basic skills (addition, multiplication, etc.) are overlearned and become automatic, thus freeing working-memory capacity.

In the classroom
The most effective teachers in the studies of classroom instruction understood the importance of practice, and they began their lessons with a five- to eight-minute review of previously covered material. Some teachers reviewed vocabulary, formulae, events, or previously learned concepts. These teachers provided additional practice on facts and skills that were needed for recall to become automatic.

Effective teacher activities also included reviewing the concepts and skills that were necessary to do the homework, having students correct each others’ papers, and asking about points on which the students had difficulty or made errors. These reviews ensured that the students had a firm grasp of the skills and concepts that would be needed for the day’s lesson.

Effective teachers also reviewed the knowledge and concepts that were relevant for that day’s lesson. It is important for a teacher to help students recall the concepts and vocabulary that will be relevant for the day’s lesson because our working memory is very limited. If we do not review previous learning, then we will have to make a special effort to recall old material while learning new material, and this makes it difficult for us to learn the new material.

Daily review is particularly important for teaching material that will be used in subsequent learning. Examples include reading sight words (i.e., any word that is known by a reader automatically), grammar, math facts, math computation, math factoring, and chemical equations.

When planning for review, teachers might want to consider which words, math facts, procedures, and concepts need to become automatic, and which words, vocabulary, or ideas need to be reviewed before the lesson begins.

In addition, teachers might consider doing the following during their daily review:

- Correct homework.
- Review the concepts and skills that were practiced as part of the homework.
- Ask students about points where they had difficulties or made errors.
- Review material where errors were made.
- Review material that needs overlearning (i.e., newly acquired skills should be practiced well beyond the point of initial mastery, leading to automaticity).

2. Present new material in small steps with student practice after each step: Only present small amounts of new material at any time, and then assist students as they practice this material.

Research findings
Our working memory, the place where we process information, is small. It can only handle a few bits of information at once—too much information swamps our working memory. Presenting too much material at once may confuse students because their working memory will be unable to process it.

Therefore, the more effective teachers do not overwhelm their students by presenting too much new material at once. Rather,
these teachers only present small amounts of new material at any time, and then assist the students as they practice this material. Only after the students have mastered the first step do teachers proceed to the next step.

The procedure of first teaching in small steps and then guiding student practice represents an appropriate way of dealing with the limitation of our working memory.

In the classroom
The more successful teachers did not overwhelm their students by presenting too much new material at once. Rather, they presented only small amounts of new material at one time, and they taught in such a way that each point was mastered before the next point was introduced. They checked their students’ understanding on each point and retaught material when necessary.

Some successful teachers taught by giving a series of short presentations using many examples. The examples provided concrete learning and elaboration that were useful for processing new material.

Teaching in small steps requires time, and the more effective teachers spent more time presenting new material and guiding student practice than did the less effective teachers. In a study of mathematics instruction, for instance, the most effective mathematics teachers spent about 23 minutes of a 40-minute period in lecture, demonstration, questioning, and working examples. In contrast, the least effective teachers spent only 11 minutes presenting new material. The more effective teachers used this extra time to provide additional explanations, give many examples, check for student understanding, and provide sufficient instruction so that the students could learn to work independently without difficulty. In one study, the least effective teachers asked only nine questions in a 40-minute period. Compared with the successful teachers, the less effective teachers gave much shorter presentations and explanations, and then passed out worksheets and told students to solve the problems. The less successful teachers were then observed going from student to student and having to explain the material again.

Similarly, when students were taught a strategy for summarizing a paragraph, an effective teacher taught the strategy using small steps. First, the teacher modeled and thought aloud as she identified the topic of a paragraph. Then, she led practice on identifying the topics of new paragraphs. Then, she taught students to identify the main idea of a paragraph. The teacher modeled this step and then supervised the students as they practiced both finding the topic and locating the main idea. Following this, the teacher taught the students to identify the supporting details in a paragraph. The teacher modeled and thought aloud, and then the students practiced. Finally, the students practiced carrying out all three steps of this strategy. Thus, the strategy of summarizing a paragraph was divided into smaller steps, and there was modeling and practice at each step.

3. Ask a large number of questions and check the responses of all students: Questions help students practice new information and connect new material to their prior learning.

Research findings
Students need to practice new material. The teacher’s questions and student discussion are a major way of providing this necessary practice. The most successful teachers in these studies spent more than half of the class time lecturing, demonstrating, and asking questions.

Questions allow a teacher to determine how well the material has been learned and whether there is a need for additional instruction. The most effective teachers also ask students to explain the process they used to answer the question, to explain how the answer was found. Less successful teachers ask fewer questions and almost no process questions.

In the classroom
In one classroom-based experimental study, one group of teachers was taught to follow the presentation of new material with lots of questions. They were taught to increase the number of factual questions and process questions they asked during this guided practice. Test results showed that their students achieved higher scores than did students whose teachers did not receive the training.

Imaginative teachers have found ways to involve all students in answering questions. Examples include having all students:

- Tell the answer to a neighbor.
- Summarize the main idea in one or two sentences, writing the summary on a piece of paper and sharing this with a neighbor, or repeating the procedures to a neighbor.
- Write the answer on a card and then hold it up.
- Raise their hands if they know the answer (thereby allowing the teacher to check the entire class).
- Raise their hands if they agree with the answer that someone else has given.

Across the classrooms that researchers observed, the purpose of all these procedures was to provide active participation for the students and also to allow the teacher to see how many students were correct and confident. The teacher may then reteach some material when it was considered necessary. An alternative was for students to write their answers and then trade papers with each other.

Other teachers used choral responses to provide sufficient practice when teaching new vocabulary or lists of items. This made the practice seem more like a game. To be effective, how-
ever, all students needed to start together, on a signal. When students did not start together, only the faster students answered.

In addition to asking questions, the more effective teachers facilitated their students’ rehearsal by providing explanations, giving more examples, and supervising students as they practiced the new material.

The following is a series of stems for questions that teachers might ask when teaching literature, social science content, or science content to their students. Sometimes, students may also develop questions from these stems to ask questions of each other.

How are __________ and __________ alike?
What is the main idea of __________?
What are the strengths and weaknesses of __________?
In what way is __________ related to __________?
Compare __________ and __________ with regard to __________.
What do you think causes __________?
How does __________ tie in with what we have learned before?
Which one is the best __________, and why?
What do you think causes __________?
How does __________ tie in with what we have learned before?
Which one is the best __________, and why?
What are some possible solutions for the problem of __________?
Do you agree or disagree with this statement: __________?
What do you still not understand about __________?

4. Provide models: Providing students with models and worked examples can help them learn to solve problems faster.

Research findings
Students need cognitive support to help them learn to solve problems. The teacher modeling and thinking aloud while demonstrating how to solve a problem are examples of effective cognitive support. Worked examples (such as a math problem for which the teacher not only has provided the solution but has clearly laid out each step) are another form of modeling that has been developed by researchers. Worked examples allow students to focus on the specific steps to solve problems and thus reduce the cognitive load on their working memory. Modeling and worked examples have been used successfully in mathematics, science, writing, and reading comprehension.

In the classroom
Many of the skills that are taught in classrooms can be conveyed by providing prompts, modeling use of the prompt, and then guiding students as they develop independence. When teaching reading comprehension strategies, for example, effective teachers provided students with prompts that the students could use to ask themselves questions about a short passage. In one class, students were given words such as “who,” “where,” “why,” and “how” to help them begin a question. Then, everyone read a passage and the teacher modeled how to use these words to ask questions. Many examples were given.

Next, during guided practice, the teacher helped the students practice asking questions by helping them select a prompt and develop a question that began with that prompt. The students practiced this step many times with lots of support from the teacher.

Then, the students read new passages and practiced asking questions on their own, with support from the teacher when needed. Finally, students were given short passages followed by questions, and the teacher expressed an opinion about the quality of the students’ questions.

This same procedure—providing a prompt, modeling, guiding practice, and supervising independent practice—can be used for many tasks. When teaching students to write an essay, for example, an effective teacher first modeled how to write each paragraph, then the students and teacher worked together on two or more new essays, and finally students worked on their own with supervision from the teacher.

Worked examples are another form of modeling that has been used to help students learn how to solve problems in mathematics and science. A worked example is a step-by-step demonstration of how to perform a task or how to solve a problem. The presentation of worked examples begins with the teacher modeling and explaining the steps that can be taken to solve a specific problem. The teacher also identifies and explains the underlying principles for these steps.

Usually, students are then given a series of problems to complete at their desks as independent practice. But, in research carried out in Australia, students were given a mixture of problems to solve and worked examples. So, during independent practice, students first studied a worked example, then they solved a problem; then they studied another worked example and solved another problem. In this way, the worked examples showed students how to focus on the essential parts of the problems. Of course, not all students studied the worked examples. To correct
this problem, the Australian researchers also presented partially completed problems in which students had to complete the missing steps and thus pay more attention to the worked example.

5. Guide student practice: Successful teachers spend more time guiding students’ practice of new material.

Research findings
It is not enough simply to present students with new material, because the material will be forgotten unless there is sufficient rehearsal. An important finding from information-processing research is that students need to spend additional time rephrasing, elaborating, and summarizing new material in order to store this material in their long-term memory. When there has been sufficient rehearsal, the students are able to retrieve this material easily and thus are able to make use of this material to foster new learning and aid in problem solving. But when the rehearsal time is too short, students are less able to store, remember, or use the material. As we know, it is relatively easy to place something in a filing cabinet, but it can be very difficult to recall where exactly we filed it. Rehearsal helps us remember where we filed it so we can access it with ease when needed.

A teacher can facilitate this rehearsal process by asking questions; good questions require students to process and rehearse the material. Rehearsal is also enhanced when students are asked to summarize the main points, and when they are supervised as they practice new steps in a skill. The quality of storage in long-term memory will be weak if students only skim the material and do not engage in it. It is also important that all students process the new material and receive feedback, so they do not inadvertently store partial information or a misconception in long-term memory.

In the classroom
In one study, the more successful teachers of mathematics spent more time presenting new material and guiding practice. The more successful teachers used this extra time to provide additional explanations, give many examples, check for student understanding, and provide sufficient instruction so that the students could learn to work independently without difficulty. In contrast, the less successful teachers gave much shorter presentations and explanations, and then they passed out worksheets and told students to work on the problems. Under these conditions, the students made too many errors and had to be retaught the lesson.

The most successful teachers presented only small amounts of material at a time. After this short presentation, these teachers then guided student practice. This guidance often consisted of the teacher working the first problems at the blackboard and explaining the reason for each step, which served as a model for the students. The guidance also included asking students to come to the blackboard to work out problems and discuss their procedures. Through this process, the students seated in the classroom saw additional models.

Although most teachers provided some guided practice, the most successful teachers spent more time in guided practice, more time asking questions, more time checking for understanding, more time correcting errors, and more time having students work out problems with teacher guidance.

Teachers who spent more time in guided practice and had higher success rates also had students who were more engaged during individual work at their desks. This finding suggests that, when teachers provided sufficient instruction during guided practice, the students were better prepared for the independent practice (e.g., seatwork and homework activities), but when the guided practice was too short, the students were not prepared for the seatwork and made more errors during independent practice.

6. Check for student understanding: Checking for student understanding at each point can help students learn the material with fewer errors.

Research findings
The more effective teachers frequently checked to see if all the students were learning the new material. These checks provided some of the processing needed to move new learning into long-term memory. These checks also let teachers know if students were learning the new material. These checks provided some of the processing needed to move new learning into long-term memory. These checks also let teachers know if students were developing misconceptions.

In the classroom
Effective teachers also stopped to check for student understanding. They checked for understanding by asking questions, by asking students to summarize the presentation up to that point or to repeat directions or procedures, or by asking students whether they agreed or disagreed with other students’ answers. This checking has two purposes: (a) answering the questions might cause the students to elaborate on the material they have learned and augment connections to other learning in their long-term memory, and (b) alerting the teacher to when parts of the material need to be retaught.

In contrast, the less effective teachers simply asked, “Are there any questions?” and, if there were no questions, they assumed the students had learned the material and proceeded to pass out worksheets for students to complete on their own.

Another way to check for understanding is to ask students to think aloud as they work to solve mathematical problems, plan an essay, or identify the main idea in a paragraph. Yet another check is to ask students to explain or defend their position to others. Having to explain a position may help students integrate and elaborate their knowledge in new ways, or may help identify gaps in their understanding.
Another reason for the importance of teaching in small steps, guiding practice, and checking for understanding (as well as obtaining a high success rate, which we’ll explore in principle 7) comes from the fact that we all construct and reconstruct knowledge as we learn and use what we have learned. We cannot simply repeat what we hear word for word. Rather, we connect our understanding of the new information to our existing concepts or “schema,” and we then construct a mental summary (i.e., the gist of what we have heard). However, when left on their own, many students make errors in the process of constructing this mental summary. These errors occur, particularly, when the information is new and the student does not have adequate or well-formed background knowledge. These constructions are not errors so much as attempts by the students to be logical in an area where their background knowledge is weak. These errors are so common that there is a research literature on the development and correction of student misconceptions in science. Providing guided practice after teaching small amounts of new material, and checking for student understanding, can help limit the development of misconceptions.

7. Obtain a high success rate: It is important for students to achieve a high success rate during classroom instruction.

Research findings
In two of the major studies on the impact of teachers, the investigators found that students in classrooms with more effective teachers had a higher success rate, as judged by the quality of their oral responses during guided practice and their individual work. In a study of fourth-grade mathematics, it was found that 82 percent of students’ answers were correct in the classrooms of the most successful teachers, but the least successful teachers had a success rate of only 73 percent. A high success rate during guided practice also leads to a higher success rate when students are working on problems on their own.

The most successful teachers spent more time in guided practice, more time asking questions, more time checking for understanding, and more time correcting errors.

In the classroom
The most effective teachers obtained this success level by teaching in small steps (i.e., by combining short presentations with supervised student practice), and by giving sufficient practice on each part before proceeding to the next step. These teachers frequently checked for understanding and required responses from all students.

It is important that students achieve a high success rate during instruction and on their practice activities. Practice, we are told, makes perfect, but practice can be a disaster if students are practicing errors! If the practice does not have a high success level, there is a chance that students are practicing and learning errors. Once errors have been learned, they are very difficult to overcome.

As discussed in the previous section, when we learn new material, we construct a gist of this material in our long-term memory. However, many students make errors in the process of constructing this mental summary. These errors can occur when the information is new and the student did not have adequate or well-formed background knowledge. These constructions are not errors so much as attempts by the students to be logical in an area where their background knowledge is weak. But students are more likely to develop misconceptions if too much material is presented at once, and if teachers do not check for student understanding. Providing guided practice after teaching small amounts of new material, and checking for student understanding, can help limit the development of misconceptions.

I once observed a class where an effective teacher was going from desk to desk during independent practice and suddenly realized that the students were having difficulty. She stopped the work, told the students not to do the problems for homework, and said she would reteach this material the next day. She stopped the work because she did not want the students to practice errors. Unless all students have mastered the first set of lessons, there is a danger that the slower students will fall further behind when the next set of lessons is taught. So there is a need for a high success rate for all students. “Mastery learning” is a form of instruction where lessons are organized into short units and all students are required to master one set of lessons before they proceed to the next set. In mastery learning, tutoring by other students or by teachers is provided to help students master each unit. Variations of this approach, particularly the tutoring, might be useful in many classroom settings.
8. Provide scaffolds for difficult tasks: The teacher provides students with temporary supports and scaffolds to assist them when they learn difficult tasks.

Research findings
Investigators have successfully provided students with scaffolds, or instructional supports, to help them learn difficult tasks. A scaffold is a temporary support that is used to assist a learner. These scaffolds are gradually withdrawn as learners become more competent, although students may continue to rely on scaffolds when they encounter particularly difficult problems. Providing scaffolds is a form of guided practice.

Scaffolds include modeling the steps by the teacher, or thinking aloud by the teacher as he or she solves the problem. Scaffolds also may be tools, such as cue cards or checklists, that complete part of the task for the students, or a model of the completed task against which students can compare their own work.

One characteristic of effective teachers is their ability to anticipate students’ errors and warn them about possible errors some of them are likely to make.

The process of helping students solve difficult problems by modeling and providing scaffolds has been called “cognitive apprenticeship.” Students learn strategies and content during this apprenticeship that enable them to become competent readers, writers, and problem solvers. They are aided by a master who models, coaches, provides supports, and scaffolds them as they become independent.

In the classroom
One form of scaffolding is to give students prompts for steps they might use. Prompts such as “who,” “why,” and “how” have helped students learn to ask questions while they read. Teaching students to ask questions has been shown to help students’ reading comprehension.

Similarly, one researcher developed the following prompt to help students organize material.13

1. Draw a central box and write the title of the article in it.
2. Skim the article to find four to six main ideas.
3. Write each main idea in a box below the central box.
4. Find and write two to four important details to list under each main idea.

Another form of scaffolding is thinking aloud by the teacher. For example, teachers might think aloud as they try to summarize a paragraph. They would show the thought processes they go through as they determine the topic of the paragraph and then use the topic to generate a summary sentence. Teachers might think aloud while solving a scientific equation or writing an essay, and at the same time provide labels for their mental processes. Such thinking aloud provides novice learners with a way to observe “expert thinking” that is usually hidden from the student. Teachers also can study their students’ thought processes by asking them to think aloud during problem solving.

One characteristic of effective teachers is their ability to anticipate students’ errors and warn them about possible errors some of them are likely to make. For example, a teacher might have students read a passage and then give them a poorly written topic sentence to correct. In teaching division or subtraction, the teacher may show and discuss with students the mistakes other students have frequently made.

In some of the studies, students were given a checklist to evaluate their work. Checklist items included “Have I found the most important information that tells me more about the main idea?” and “Does every sentence start with a capital letter?” The teacher then modeled use of the checklist.

In some studies, students were provided with expert models with which they could compare their work. For example, when students were taught to generate questions, they could compare their questions with those generated by the teacher. Similarly, when learning to write summaries, students could compare their summaries on a passage with those generated by an expert.

9. Require and monitor independent practice: Students need extensive, successful, independent practice in order for skills and knowledge to become automatic.

Research findings
In a typical teacher-led classroom, guided practice is followed by independent practice—by students working alone and practicing the new material. This independent practice is necessary because a good deal of practice (overlearning) is needed in order to become fluent and automatic in a skill. When material is overlearned, it can be recalled automatically and doesn’t take up any space in working memory. When students become automatic in an area, they can then devote more of their attention to comprehension and application.

Independent practice provides students with the additional review and elaboration they need to become fluent. This need for fluency applies to facts, concepts, and discriminations that must be used in subsequent learning. Fluency is also needed in operations, such as dividing decimals, conjugating a regular verb in a foreign language, or completing and balancing a chemical equation.
The best way to become an expert is through practice—thousands of hours of practice. The more the practice, the better the performance.

supervised their seatwork. The optimal time for these contacts was 30 seconds or less. Classrooms where the teachers had to stop at students’ desks and provide a great deal of explanation during seatwork were the classrooms where students were making errors. These errors occurred because the guided practice was not sufficient for students to engage productively in independent practice. This reiterates the importance of adequately preparing students before they begin independent practice.

Some investigators have developed procedures, such as cooperative learning, during which students help each other as they study. Research has shown that all students tend to achieve more in these settings than do students in regular settings. Presumably, some of the advantage comes from having to explain the material to someone else and/or having someone else (other than the teacher) explain the material to the student. Cooperative learning offers an opportunity for students to get feedback from their peers about correct as well as incorrect responses, which promotes both engagement and learning. These cooperative/competitive settings are also valuable for helping slower students in a class by providing extra instruction for them.

10. Engage students in weekly and monthly review: Students need to be involved in extensive practice in order to develop well-connected and automatic knowledge.

Research findings
Students need extensive and broad reading, and extensive practice in order to develop well-connected networks of ideas (schemas) in their long-term memory. When one’s knowledge on a particular topic is large and well connected, it is easier to learn new information and prior knowledge is more readily available for use. The more one rehearses and reviews information, the stronger these interconnections become. It is also easier to solve new problems when one has a rich, well-connected body of knowledge and strong ties among the connections. One of the goals of education is to help students develop extensive and available background knowledge.

Knowledge (even very extensive knowledge) stored in long-term memory that is organized into patterns only occupies a tiny amount of space in our limited working memory. So having larger and better-connected patterns of knowledge frees up space in our working memory. This available space can be used for reflecting on new information and for problem solving. The development of well-connected patterns (also called “unitization” and “chunking”) and the freeing of space in the working memory is one of the hallmarks of an expert in a field.

Thus, research on cognitive processing supports the need for a teacher to assist students by providing for extensive reading of a variety of materials, frequent review, and discussion and application activities. The research on cognitive processing suggests that these classroom activities help students increase the number of pieces of information in their long-term memory and organize this information into patterns and chunks.

The more one rehearses and reviews information, the stronger the interconnections between the materials become. Review also helps students develop their new knowledge into patterns, and it (Continued on page 39)
The 10 principles in this article come from three different sources: research on how the mind acquires and uses information, the instructional procedures that are used by the most successful teachers, and the procedures invented by researchers to help students learn difficult tasks. The research from each of these three sources has implications for classroom instruction, and these implications are described in each of these 10 principles.

Even though these principles come from three different sources, the instructional procedures that are taken from one source do not conflict with the instructional procedures that are taken from another source. Instead, the ideas from each of the sources overlap and add to each other. This overlap gives us faith that we are developing a valid and research-based understanding of the art of teaching.